HOTHE WATEP STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.56, §1.97, and §1.98



PTO-1449 FORM

SHEET 1 OF 4

ATTORNEY DOCKET NO.:

R0368-04000

SERIAL NO.:

10/716,329

APPLICANTS:

Burbank et al.

EXAMINER:

Not yet assigned

FILING DATE:

November 18, 2003

GROUP ART UNIT:

3731

U.S. PATENT DOCUMENTS

† EX'R INITIAL	*REF.#	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
MT	*A1	3,209,753	10/65	Hawkins et al.		
1	*A2	3,411,505	11/68	Nobis		
	*A3	4,292,960	10/81	Paglione		
	*A4	4,428,374	1/84	Auburn		
	*A5	4,428,379	1/84	Robbins et al.		
	*A6	4,509,528	4/85	Sahota		
	*A7	4,650,466	1/87	Luther		
	*A8	4,757,823	7/88	Hofmeister et al.		
	*A9	4,991,588	2/91	Pflueger et al.		
	*A10	4,994,069	2/91	Ritchart et al.		
	*A11	5,037,433	8/91	Wilk et al.		
	*A12	5,081,997	1/92	Bosley, Jr. et al.		
	*A13	5,108,408	4/92	Lally		
	*A14	5,201,314	4/93	Bosley et al.		
	*A15	5,226,911	7/93	Chee et al.		
	A16	5,261,409	11/93	Dardel		
	*A17	5,275,166	1/94	Vaitenkunas et al.		
	*A18	5,289,831	3/94	Bosley		
	*A19	5,336,229	8/94	Noda, Wayne A.		
	*A20	5,336,231	8/94	Adair		
	*A21	5,383,922	1/95	Zipes et al.		
	*A22	5,456,693	10/95	Conston et al.		
	*A23	5,458,596	10/95	Lax et al.		
	*A24	5,488,958	2/96	Topel et al.		
	*A25	5,496,331	3/96	Xu et al.		
	*A26	5,507,744	4/96	Tay et al.		
	*A27	5,542,944	8/96	Bhatta		
	*A28	5,549,624	8/96	Mirigian et al.		
	*A29	5,549,824	7/96	Trumpf et al.		
	*A30	5,556,396	9/96	Cohen et al.		
	*A31	5,562,680	10/96	Hasson		
	*A32	5,570,692	11/96	Morinaga		
	*A33	5,588,960	12/96	Edwards et al.		
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	*A35	5,658,299	8/97	Hart		
	A36	5,662,676	9/97	Koninckx		
	*A37	5,662,680	9/97	Desai		
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	*A39	5,672,153	9/97	Lax et al.		
	*A40	5,672,172	9/97	Zupkas		
1/	*A41	5,691,314	11/97	Hodgen		
$-\Lambda$	*A42	5,697,937	12/97	Toma		

IN THE	UNITED ST	TATES PATENT AND	TRADEMARK OFFICE	ATTORNEY DOCKET NO.: SERIAL NO.:			
		ON DISCLOSUR 37 CFR §1.56, §1.97		R0368-04000 10/716,329		6,329	
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		PTO-1449 FORM		Burbank et al.	Not yet	Not yet assigned	
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		SHEET 2 OF 4		November 18, 2003	37	31	
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† EX'R INITIAL	*REF.#	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)	
MT	*A43	5 607 042	12/97	Palti	3000000	(паррюрнас)	
MI.	*A45	5,697,942 5,702,407	12/97	Kaji	- 		
\dashv	*A45	5,713,896	2/98	Nardella	- 	 	
	*A47	5,713,942	2/98	Stern et al.		 	
	*A48	5,715,832	2/98	Koblish et al.			
	*A49	5,716,389	2/98	Walinsky et al.	+	 	
-1	*A50	5,720,743	2/98	Bischof et al.			
-	*A51	5,746,750	5/98	Prestel et al.		 	
	*A52	5,749,879	5/98	Middleman et al.	-		
	*A53	5,759,154	6/98	Hoyns		 	
	*A54	5,766,135	6/98	Terwilliger		 	
	*A55	5,776,129	7/98	Mersch		 	
	*A56	5,797,397	8/98	Rosenberg		 	
	*A57	5,800,378	9/98	Edwards et al.	- 	 	
-	*A58	5,817,022	10/98	Vesely		 	
+	*A59	5,836,906	11/98	Edwards	 	<u> </u>	
			11/98	Takeuchi	-	 	
	*A60	5,840,033					
	*A61	5,895,386	4/99	Odell et al.	<u> </u>	 	
	*A62	5,895,395	4/99	Yeung		ļ ·	
	*A63	5,899,861	5/99	Friemel et al.		 	
_	*A64	5,904,651	5/99	Swanson et al.			
	*A65	5,910,484	6/99	Haupert, Jr.		 	
	*A66	5,911,691	6/99	Mochizuki et al.		ļ	
	*A67	5,921,933	7/99	Sarkis et al.		 -	
-	*A68	5,941,889	8/99	Cermak Sayaga et al		 	
	*A69	5,979,453	11/99	Savage et al.		 	
-	*A70	5,980,534	11/99	Gimpelson Karavidas		 	
	*A71	6,013,088	1/00	Greff et al.		 	
	*A72	6,015,541	1/00 2/00			 	
	*A73	6,019,724	3/00	Gronningsaeter et al. Savage et al.		 	
_	*A74	6,032,673	3/00	Farley et al.			
	*A75	6,033,398 6,034,477	3/00	Peeters et al.			
	*A76		3/00	Ingle et al.			
_{-	*A77	6,035,238	3/00	Seward et al.			
	*A78	6,039,693	4/00	Hossack et al.		 	
	*A79 *A80	6,045,508	5/00	Ryan et al.		 	
		6,066,139		Edwards et al.	_	 	
	*A81	6,077,257	6/00			 	
\	*A82	6,096,051	8/00	Kortenbach et al.		 	
-	*A83	6,106,473	8/00	Violante et al.		 	
▼	*A84	6,186,947	2/01	Ouchi	1	1	

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			Duibank et al.	Not yet	assigned		
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B1	SU 1 072 859 a	2/84	SOVIET UNION				
*B2	EP 0 472 368	2/92			ļ		
*B3	EP 0 598 579			· · · · · · · · · · · · · · · · · · ·	ļ		
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*B7	WO 96/10365			····	<u> </u>		
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*B17	WO 02/39904 A1	5/02	WIPO				
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NEF. #	5 4 44 - 11				Tava Clat		
*C1	Oxycel and Gelfoam	in Domestic Swine",	Investigative Radiology, May-Jur	ne 1977, Vol. 12,	pp. 273-290.		
*C2	Bateman, William M.D., "Treatment of intractable menorrhagia by bilateral uterine vessel, Interruption", Am. J. Obst. & Gynec. 89(6):825-827 (July 15, 1964).						
OZ.	Brigato, G. et al., "A Noninvasive Instrumental Method in Severe Postpartum Hemorrhages", Minerva Ginecologica 50(7-8):337-339 (1998).						
	*REF. # *A85 *A86 *A87 *A88 *A89 *A90 *A91 *REF. # B1 *B2 *B3 *B4 *B5 B6 *B7 B8 *B9 *B10 *B11 *B12 *B13 *B14 *B15 *B16 *B17	PTO-1449 FORM SHEET 3 OF 4 *REF. # PATENT NUMBER *A85	*REF. # PATENT NUMBER DATE (MO/YR) *A85 6,210,330 4/01 *A86 6,231,515 5/01 *A87 6,254,601 7/01 *A88 6,261,234 7/01 *A89 6,280,441 8/01 *A90 6,368,340 4/02 *A91 6,425,867 7/02 *FOREIGN PATE *REF. # PATENT NUMBER DATE (MO/YR) B1 SU 1 072 859 a 2/84 *B2 EP 0 472 368 2/92 *B3 EP 0 598 579 5/94 *B4 WO 95/02370 1/95 *B5 WO 95/02371 1/95 B6 WO 96/05776 2/96 *B7 WO 96/10365 4/96 B8 GB 2 302 025 1/97 *B1 WO 97/27897 8/97 *B10 DE 195 28 440 A 2/97 *B11 WO 97/27897 8/97 *B12 WO 97/47246 12/97 *B13 WO 98/19713 5/98 *B14 WO 99/00057 1/99 *B15 EP 1 072 282 1/01 *B16 DE 200 22 012 U1 5/01 *B17 WO 02/39904 A1 5/02 *C1 Barth, Klemens H. et al., "Long Term Folk Oxycel and Gelfoam in Domestic Swine",	### PATENT NUMBER DATE (MOYR) COUNTRY ### PATENT NUMBER DATE (MOYR) Ryan *A88 6,281,234 7/01 Burbank et al. *A88 6,281,234 7/01 Burbank et al. *A89 6,280,441 8/01 Ryan *A90 6,388,340 4/02 Malecki et al. *A91 6,425,867 7/02 Vaezy et al. *POTEIGN PATENT DOCUMENTS **REF. # PATENT NUMBER DATE (MOYR) Ryan *A90 6,388,340 4/02 Malecki et al. *A91 6,425,867 7/02 Vaezy et al. **POTEIGN PATENT DOCUMENTS **REF. # PATENT NUMBER DATE (MOYR) COUNTRY **B1 SU 1 072 859 a 2/84 SOVIET UNION **B2 EP 0 472 368 2/92 EPO **B3 EP 0 598 879 5/94 EPO **B4 WO 95/02370 1/95 PCT **B5 WO 95/02371 1/95 PCT **B6 WO 96/0365 4/96 WIPO **B7 WO 96/10365 4/96 WIPO **B8 GB 2 302 025 1/97 GREAT BRITAIN **B9 GB 2 311 468 A 1/97 GREAT BRITAIN **B10 DE 195 28 440 A 2/97 GREAT BRITAIN **B10 DE 195 28 440 A 2/97 GREAT BRITAIN **B10 DE 195 28 440 A 2/97 GREAT BRITAIN **B10 DE 195 28 440 A 2/97 GREAT BRITAIN **B10 DE 195 28 440 A 2/97 GREAT BRITAIN **B10 DE 195 28 440 A 2/97 GREAT BRITAIN **B10 DE 195 28 440 A 2/97 GREAT BRITAIN **B11 WO 97/47246 12/97 WIPO **B13 WO 99/19713 5/98 PCT **B14 WO 99/00057 1/99 WIPO **B15 EP 1 0.72 282 1/01 EPO **B16 DE 200 22 012 U1 5/01 GERMANY **B17 WO 02/39904 A1 5/02 WIPO **B18 FP 1 0.72 282 1/01 GERMANY **B17 WO 02/39904 A1 5/02 WIPO **B18 Barth, Klemens H. et al., "Long Term Follow-Up of Transcatheter Emboliza **C1 Barth, Klemens H. et al., "Long Term Follow-Up of Transcatheter Emboliza **C1 Barth, Klemens H. et al., "Long Term Follow-Up of Transcatheter Emboliza **C1 Barth, Klemens H. et al., "Long Term Follow-Up of Transcatheter Emboliza **C1 Barth, Klemens H. et al., "Long Term Follow-Up of Transcatheter Emboliza **C1 Barth, Klemens H. et al., "Long Term Follow-Up of Transcatheter Emboliza **C1 Barth, Klemens H. et al., "Long Term Follow-Up of Transcatheter Emboliza **C1 Barth, Klemens H. et al., "Long Term Follow-Up of Transcatheter Emboliza **C1 Barth, Klemens H. et al., "Long Term Follow-Up of Transcatheter Emboliza **C1 Barth, Klemens H. et al., "Long Term Follow-Up of Transcatheter Emboliza **C1 Barth, Klemens H	NOTE PTO-1449 FORM		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ATTORNEY DOCKET NO .: **SERIAL NO.:** 10/716,329 R0368-04000 INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56, §1.97, and §1.98 **EXAMINER:** APPLICANTS: **PTO-1449 FORM** Burbank et al. Not yet assigned **GROUP ART UNIT:** FILING DATE: SHEET 4 OF 4 November 18, 2003 3731 OTHER DOCUMENTS CONT'D

		OTHER BOODINENTS CONT B					
†EX'R INITIAL	*REF.#	Document Description (including Author, Title, Date, Pertinent Pages, Etc.)					
MT *C4		Brohim, Robert M. et al., "Development of Independent Vessel Security After Ligation With Absorbable Sutures or Clips", <i>The American Journal of Surgery</i> , Mar. 1993, Vol. 165, pp. 345-348.					
	*C5	Burbank, Fred et al., "Uterine Artery Occlusion by Embolization or Surgery for the Treatment of Fibroids: A Unifying Hypothesis-Transient Uterine Ischemia", <i>The Journal of the American Association of Gynecologic Laparoscopists</i> , November 2000, Vol. 7, No. 7 Supplemental, pp. S3-S49.					
	*C6	Fuchs, Karl, "Afibrinogenemia Treated by Ligation of Uterine Arteries", Gynacologic 148:407-411 (1959).					
	*C7	Garza Leal, J. et al., "Myoma Treatment by Transient Uterine Ischemia", The Journal of the American Association of Gynecologic Laparoscopists 7(3):S31 (August 2000).					
	*C8	Hay, D.L. et al., "Hemostasis in Blood Vessels After Ligation", Am. J. Obstet. Gynecol., March 1989, 160:3, pp. 737-739.					
	*C9	Hunerbein, M. et al., "Endoscopic Ultrasound-Guided Real Time Biopsy of Peri-Intestinal Tumors", Surgical Technology International VII, 1998, pp. 91-95.					
	*C10	O'Leary, James A., M.D., "Uterine Artery Ligation in the Control of Postcesarean Hemorrhage", <i>The Journal of Reproductive Medicine, Inc.</i> , 40(3):189-193 (March 1995).					
	*C11	O'Leary, James L., M.D. et al., "Uterine artery ligation in the control of intractable postpartum hemorrhage", Am. J. Obst. & Gynec. 94(7):920-924 (April 1, 1966).					
	*C12	Ravina, J.H. et al., "Arterial Embolisation to Treat Uterine Myomata", <i>The Lancet</i> , Sept. 9, 1995, Vol. 346, No. 8976, pp. 671-672.					
	*C13	Schaefer, C.J. et al., "Absorbable Ligating Clips", Surg. Gynecol. Obstet., 1982, 154:513-516.					
	*C14	"Mick 200-TP Applicator Package", Mick Radio-Nuclear Instruments, Inc., advertisement.					
	*C15	"Multiplanar Biopsy Transverse Scan", Bruel & Kjaer Medical Systems, Inc., advertisement.					
	*C16	"Seeding Device – Proscan Urologic Ultrasound Imaging System", Teknar, advertisement.					
	*C17	"Sonopsy Ultrasound Guided Breast Biopsy, NeoVision, advertisement.					
V	*C18	"Transrectal Biopsy of the Prostrate Gland", Bruel & Kjaer Medical Systems, Inc., advertisement.					

EXAMINER'S SIGNATURE

DATE CONSIDERED

/Melanie Tyson/

10/25/2006

† EXAMINER:

Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered.

Include copy of this form in next communication to applicant.

If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).

INI	FORMATIO	N DISCLOSURE 7 CFR §1.56, §1.97, PTO-1449 FORM SHEET 1 OF 1	STATEMENT and §1.98		ATTORNEY DOCKET NO.: R0368-04000 10/716,329 APPLICANTS: Burbank et al. FILING DATE: November 18, 2003 SERIAL NO.: EXAMINER Not yet assigned GROUP ART UNIT: 3731		ssigned T:
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† EX'R INITIAL	*REF.#	PATENT NUMBER	DATE (MO/YR)		NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
MT	· A92	3,777,740	. 12/73		Hokanson		
MT	A93	5,598,841	2/97		Taniji et al.		
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56, §1.97, and \$1.98					ATTORNEY DOCKET NO.: R0368-04000	SERIAL NO.: 10/716,329	
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			JUN 1 7 2004 &		Burbank et al.	Not yet assigned	
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† EXR INITIAL	*REF.#	PATENT NUMBER	DATE (MO/YR)		NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
MT	A94	5,037,430	8/91		Hasson		
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If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and refled upon for an earlier filling date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).

SERIAL NO.: ATTORNEY DOCKET NO .: IN THE UNITED STATES PATENT AND TRADEMARK OFFICE R0368-04000 10/716.329 INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56, §1.97, and §1.88 P APPLICANTS: **EXAMINER PTO-1449 FORM** 3 Not yet assigned Burbank et al. FILING DATE: GROUP ART UNIT: SHEET 1 OF 1 3731 November 18, 2003 U.S. PATENT DOCUMENTS **FILING DATE** U.S. CLASS/ †EXR *REF. # **PATENT NUMBER** DATE (MO/YR) NAME (If SUBCLASS INITIAL appropriate) MT 5,037,430 8/91 Hasson A95 12/02 Altieri et al. MT A96 US 2002/183771 FOREIGN PATENT DOCUMENTS TRANSLATION t EXR *REF.# PATENT NUMBER DATE (MO/YR) COUNTRY (YES/NO) INITIAL 10/02 **WIPO** WO 02/078521 MT **B19 OTHER DOCUMENTS** †EX'R *REF. # Document Description (including Author, Title, Date, Pertinent Pages, Etc.) INITIAL International Search Report for PCT/US03/35815 mailed June 30, 2004. C19 MT DATE CONSIDERED **EXAMINER'S SIGNATURE** /Melanie Tyson/ 10/25/2006

† EXAMINER:

Initial if reference is considered, whether or not citation is in conformance with MPEP 609.

Line through citation if not in conformance and not considered. Include copy of this form in next communication to applicant.

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ATTORNEY DOCKET NO .: SERIAL NO.: ' IN THE UNITED STATES PATENT AND TRADEMARK OFFICE R0368-04000 10/716,329 INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56, §1.97, and §1.98 APPLICANTS: **EXAMINER PTO-1449 FORM** Burbank et al. Charles H. Sam FILING DATE: SHEET 1 OF 1 GROUP ART UNIT: 3731 November 18, 2003 THE TOADENE **U.S. PATENT DOCUMENTS** FILING DATE †EX'R U.S. CLASS/ *REF. # PATENT NUMBER DATE (MO/YR) NAME (If **SUBCLASS** appropriate) A97 2,400,251 5/46 MT Nagel A98 4,757,823 7/88 Hofmeister et al. A99 2002/165579 11/02 Burbank et al. A100 2003/120306 6/03 Burbank et al. **FOREIGN PATENT DOCUMENTS** †EX'R TRANSLATION *REF.# PATENT NUMBER DATE (MO/YR) COUNTRY INITIAL (YES/NO) **B20** FR 1 220 773 A MT 5/60 France **B21** EP 0 890 342 A 1/99 **EPO** MT **OTHER DOCUMENTS** † EX'R *REF. # Document Description (including Author, Title, Date, Pertinent Pages, Etc.) INITIAL MT C20 International Search Report for PCT/US2004/038111, mailed May 3, 2005. MT C21 Written Opinion for PCT/US2004/038111, mailed May 3, 2005. C22 MT Translation of FR 1 220 773. **EXAMINER'S SIGNATURE** DATE CONSIDERED 10/25/2006 /Melanie Tyson/ † EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered.

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		FIG-1443 FORM			Burbank et al.			
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MT	A101	4,945,896	8/90		Gade			
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_	A103	5,792,059	8/98		Furiø et al.			
+	A104	5,916,173	6/99		Kirsner			
1	A105	6,080,118	6/00		Blythe			
	A106	6,169,914	1/01	Hovland et al.				
	A107	6,175,751	1/01		Maizes ·			
	A108	6,905,506	6/05		Burbank et al.			
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